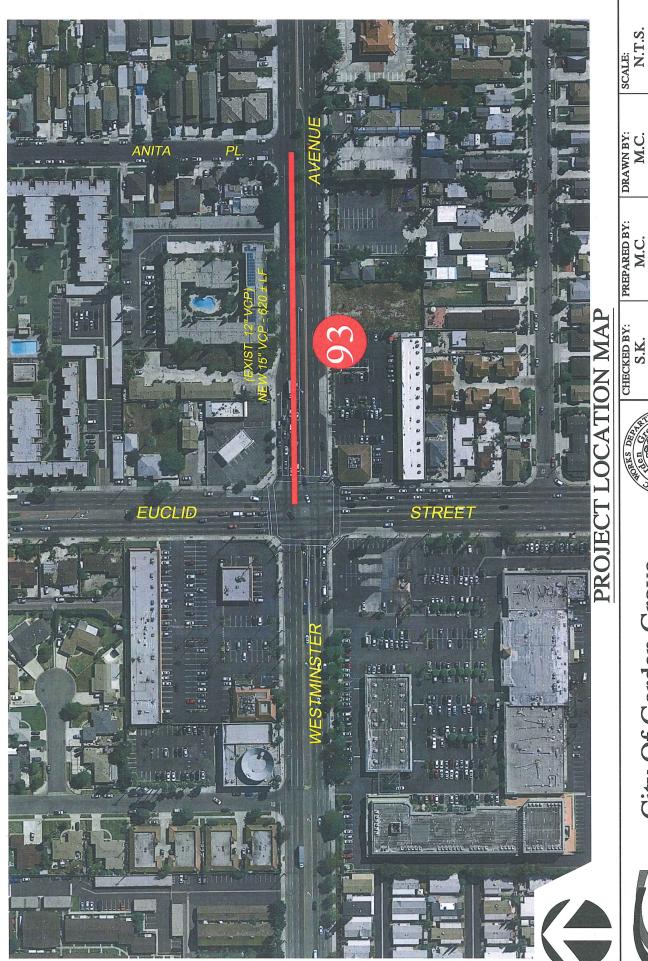
ATTACHMENT NO. 1







WESTMINSTER AVENUE (PROJ. #93) SEWER IMPROVEMENTS **LOCATION MAP**

Department Of Public Works City Of Garden Grove GARDEN GROVE

PROFESSIONAL SERVICES AGREEMENT

THIS PROFESSIONAL SERVICES AGREEMENT is made and entered into, to be effective the 26th day of January, 2016, by and between the GARDEN GROVE SANITARY DISTRICT, a California Special District, hereinafter referred to as "District," and JIG CONSULTANTS, a California corporation, hereinafter referred to as "Consultant." District and Consultant are sometimes hereinafter individually referred to as "Party" and hereinafter collectively referred to as the "Parties."

<u>RECITALS</u>

WHEREAS, District has determined that there is a need Design Survey, Soils Boring/Characterization, Potholing, Permitting and Engineering Services for the construction of Priority Sewer Improvements Project Nos. 92 & 93 (the "Project");

WHEREAS, District desires to retain Consultant to provide such services; and

WHEREAS, Consultant is qualified by virtue of experience, training, education, and expertise to perform the professional services required by this Agreement and has agreed to provide such services.

NOW, THEREFORE, in consideration of the promises and mutual benefits which will result to the Parties in carrying out the terms of this Agreement, it is mutually agreed as follows:

AGREEMENT

I. SCOPE OF WORK

District agrees to retain Consultant, and Consultant agrees to perform the services set forth in the Scope of Services described in Exhibit "A", attached hereto and by reference made a part of this Agreement (hereinafter the "Services"). Consultant agrees that its provision of Services under this Agreement shall be within accepted standards within the profession, and its specialized services shall be in accordance with customary and usual practices in Consultant's profession. By executing this Agreement, Consultant warrants that it has carefully considered how the work should be performed and fully understands the facilities, difficulties, and restrictions attending performance of the work under this Agreement.

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II. TERM

This Agreement shall be effective as of the date first set forth above. This Agreement shall commence upon the effective date of this Agreement, and shall remain and continue in effect until tasks described herein are completed unless otherwise terminated prior to this date pursuant to the provisions of this Agreement.

III. <u>FEES</u>

A. Accounting Records

Consultant shall keep complete, accurate, and detailed accounts of all time, costs, expenses, and expenditures pertaining in any way to this Agreement. Upon request of District, Consultant shall provide District with all records pertaining to this Agreement.

B. Total Payment

The Parties agree that Consultant shall bill for the Services provided by Consultant to District on an hourly basis and in accordance with the charges and fee schedule attached as Exhibit "A", except as otherwise set forth herein, provided compensation under this Agreement shall not exceed \$151,350.00.

C. Monthly Payment

- 1. District agrees to pay Consultant monthly, in accordance with the payment rates and terms and the schedule of payment, as set forth in Exhibit "A," attached hereto based upon actual time spent providing the services outlined in this Consultant shall submit to District monthly or periodic statements requesting payment. Such requests shall be based upon the amount and value of the Services performed by Consultant under this Agreement and shall be prepared by Consultant and accompanied by such reporting data including a detailed breakdown of all costs incurred and tasks performed during the period covered by the statement, as may be required by District. Invoices shall be submitted on or about the first business day of each month, for Services provided the prior month. District shall use reasonable efforts to make payment to Consultant within forty-five (45) days after the date of the invoice or as soon thereafter as reasonably practicable. If District determines that the approved written Scope of Work under this Agreement or any specified task hereunder is incomplete, the District General Manager, or his or her designee, shall notify Consultant and may withhold the payment amount for the unfinished work accordingly.
- 2. Consultant shall not be compensated for any services rendered in connection with its performance of this Agreement, which are in addition to those set forth herein, unless such additional services are authorized in advance and in writing by the District General Manager.

IV. TERMINATION

District may terminate this Agreement for its convenience at any time, with or without cause, in whole or in part, upon giving Consultant thirty (30) days written notice. Upon said notice, District shall pay Consultant its allowable costs incurred to date of termination and those allowable costs determined by District to be reasonably necessary to effect such termination. Upon receipt of said notice, Consultant shall immediately cease all work under this Agreement, unless the notice provides otherwise. If District terminates a portion of this Agreement, such termination shall not make void or invalidate the remainder of this Agreement. Thereafter, Consultant shall have no further claims against District under this Agreement. Upon termination of the Agreement pursuant to this Section, Consultant will submit an invoice to District pursuant to Section III. Consultant may terminate this Agreement, with or without cause, upon thirty (30) days written notice to District.

V. DEFAULT OF CONSULTANT

- A. Consultant's failure to comply with the provisions of this Agreement shall constitute a default. In the event Consultant is in default, except as provided for in Section XXI, District shall have no obligation or duty to continue compensating Consultant for any work performed after the date of default and can terminate the Agreement immediately upon written notice to Consultant.
- B. If the District General Manager, or his/her designee, determines that Consultant is in default in the performance of any of the terms or conditions of this Agreement, it shall notify Consultant in writing of such default. Consultant shall have ten (10) days to cure the default by rendering a satisfactory performance. In the event Consultant fails to cure its default within such period of time, District shall have the right, notwithstanding any other provision of this Agreement, to terminate this Agreement without further notice and without prejudice of any remedy to which District may be entitled at law, in equity or under this Agreement. Consultant shall be liable for any and all reasonable costs incurred by District as a result of such default including, but not limited to, reprocurement costs of the same or similar services defaulted by Consultant under this Agreement.

VI. <u>LEGAL RELATIONSHIP BETWEEN THE PARTIES</u>

A. The legal relationship between the Parties hereto is that of an independent contractor, and nothing herein shall be deemed to make Consultant a District employee. During the performance of this Agreement, Consultant and its officers, employees, and agents shall act in an independent capacity and shall not act as District officers, employees, or agents. The personnel performing the Services under this Agreement on behalf of Consultant shall at all times be under Consultant's exclusive direction and control. Neither District nor any of its officers, employees, or agents shall have control over the conduct of Consultant or any of its officers, employees, or agents, except as

set forth in this Agreement. Consultant, its officers, employees, or agents shall not maintain an office or any other type of fixed business location at District's offices.

- B. Consultant shall not incur or have the power to incur any debt, obligation, or liability against District, or bind District in any manner.
- C. No District benefits shall be available to Consultant, its officers, employees, or agents in connection with any performance under this Agreement. Except for fees paid to Consultant as provided for in this Agreement, District shall not pay salaries, wages, or other compensation to Consultant for the performance of Services under this Agreement. District shall not be liable for compensation or indemnification to Consultant, its officers, employees, or agents for injury or sickness arising out of performing Services hereunder. If for any reason, any court or governmental agency determines that District has financial obligations, other than pursuant to Section III herein, of any nature related to salary, taxes, or benefits of Consultant's officers, employees, servants, representatives, subcontractors, or agents, Consultant shall indemnify District for all such financial obligations.

VII. MODIFICATIONS AND AMENDMENTS TO AGREEMENT

No modification or amendment of this Agreement or any of the provisions hereof shall be effective for any purpose unless set forth in writing signed by duly authorized representatives of both Parties.

VIII. ASSIGNMENTS AND SUBCONTRACTING

The experience, knowledge, capability, and reputation of Consultant, its principals and employees were a substantial inducement for District to enter into this Agreement. Consultant may not assign the performance of this Agreement, nor any part thereof, nor any monies due hereunder, voluntarily or by operation of law, without the prior written approval of District. Except as otherwise expressly provided in the Scope of Services (Exhibit "A"), Consultant shall not contract with any other person or entity to perform the Services required without written approval of District. If Consultant is permitted to subcontract any part of this Agreement by District, Consultant shall be responsible to District for the acts and omissions of its subcontractor as it is for persons directly employed. Nothing contained in this Agreement shall create any contractual relationships between any subcontractor and District. All persons engaged in the work will be considered employees of Consultant. District will deal directly with and will make all payments to Consultant as provided for in Section III.

IX. SUCCESSORS IN INTEREST

This Agreement shall be binding upon and inure to the benefit of the Parties' successors and assignees.

X. THIRD PARTY BENEFICIARY

Except as may be specifically provided for herein, nothing contained in this Agreement is intended to confer, nor shall this Agreement be construed as conferring, any rights, including, without limitation, any rights as third-party beneficiary or otherwise, upon any entity or person not a party hereto.

XI. <u>INSURANCE</u>

A. <u>Insurance Required</u>

Consultant shall procure and maintain the insurance described herein for the duration of this Agreement, or as otherwise specified herein, against claims for injuries to persons or damage to property which may arise from or in connection with the performance of the work hereunder by Consultant, its agents, representatives, or employees. Insurance required herein shall be provided by a reputable insurance company in good standing with the State of California and having a minimum A.M. Best's Guide Rating of A-, Class VII or better. District will require Consultant to substitute any insurer whose rating drops below the levels specified herein. Such substitution shall occur within twenty (20) days of written notice to Consultant by District.

Consultant shall provide to District certificates of insurance in a form acceptable to District indicating the deductible or self-retention amounts and the expiration date of the policy, and shall provide renewal certificates not less than ten (10) days prior to the expiration of each policy term. The certificates of insurance shall specifically identify this Agreement and shall contain express conditions that District is to be given at least thirty (30) days advance written notice of any material modification in or termination of insurance. Such insurance shall be primary to and not contributing with any other insurance maintained by the Garden Grove Sanitary District, City of Garden Grove, and/or their respective board members, officers, officials, employees, agents, and volunteers. The insurance shall name the Garden Grove Sanitary District, City of Garden Grove, and their respective board members, officers, officials, employees, agents and volunteers as additional insureds by endorsement to the insurance policies. Except as expressly authorized herein, all insurance shall be on an occurrence basis.

1. Errors and Omissions Insurance

Consultant shall maintain in full force and effect throughout the term of this Agreement, standard industry form professional negligence errors and omissions insurance coverage in an amount of not less than One Million Dollars (\$1,000,000.00) per claim or per occurrence and Two Million Dollars (\$2,000,000) aggregate, in accordance with the provisions of this Section. If the policy of insurance is written on a "claims made" basis, the policy shall be continued in full force and effect at all times during the term of this Agreement, and for a period of three (3) years from the date of the completion of the Services provided hereunder. In the event of termination of the

policy during this period, Consultant shall obtain continuing insurance coverage for the prior acts or omissions of Consultant during the course of performing Services under the terms of this Agreement. The coverage shall be evidenced by either a new policy evidencing no gap in coverage, or by obtaining separate extended "tail" coverage with the present or new carrier.

In the event the policy of insurance is written on an "occurrence" basis, the policy shall be continued in full force and effect during the term of this Agreement, or until completion of the Services provided for in this Agreement, whichever is later. In the event of termination of the policy during this period, new coverage shall be obtained for the required period to ensure coverage for the prior acts of Consultant during the course of performing the Services under the terms of this Agreement.

2. Workers' Compensation

Consultant shall obtain and maintain, during the term of this Agreement, Workers' Compensation Employer's Liability Insurance in the statutory amount as required by California Law. Such worker's compensation insurance shall be endorsed to provide for a waiver of subrogation against Garden Grove Sanitary District, City of Garden Grove, and their respective board members, officers, officials, employees, agents and volunteers.

B. <u>Minimum Limits of Insurance</u>

Consultant shall maintain limits not less than:

1. General Liability:

\$1,000,000 per occurrence for bodily injury, personal injury and property damage. If Commercial General Liability Insurance or other form with a general aggregate limit is used, either the general aggregate limit shall apply separately to this project/location or the general aggregate limit shall be twice the required occurrence limit. (claims made and modified occurrence policies are not acceptable); Insurance companies must be acceptable to DISTRICT and have an AM Best's Guide Rating of A-, Class VII or better, as approved by the DISTRICT.

2. Automobile Liability:

\$1,000,000 per accident combined single limit. (claims made and modified occurrence policies are <u>not</u> acceptable); Insurance companies must be acceptable to DISTRICT and have an AM Best's Guide Rating of A-, Class VII or better, as approved by the DISTRICT.

3. Employer Liability:

\$1,000,000 per accident for bodily injury or disease. (claims made and modified occurrence policies are not acceptable); Insurance companies must be acceptable to DISTRICT and have an AM Best's Guide Rating of A-, Class VII or better, as approved by the DISTRICT.

C. <u>Deductibles and Self-Insured Retentions</u>

Any deductibles or self-insured retentions must be declared to and approved by the DistrictGeneral Manager. At the option of the District General Manager, either the insurer shall reduce or eliminate such deductibles or self-insured retentions as respects the Garden Grove Sanitary District, City of Garden Grove, and their respective board members, officers, officials, employees, agents and volunteers, or Consultant shall procure a bond guaranteeing payment of losses and related investigations, claim administration and defense expenses, or Consultant shall otherwise provide an alternative satisfactory to the District General Manager.

D. Other Insurance Provisions

The general liability and automobile liability policies are to contain, or be endorsed to contain, the following provisions:

- 1. The Garden Grove Sanitary District, City of Garden Grove, and their respective board members, officers, officials, employees, agents and volunteers are to be covered as additional insureds with respect to: liability arising out of activities and work performed by or on behalf of Consultant; products and completed operations of Consultant; premises owned occupied or used by Consultant; and automobiles owned, leased, hired, or borrowed by Consultant. The coverage shall contain no special limitations on the scope of protection afforded to the Garden Grove Sanitary District, the City of Garden Grove, and their respective board members, officers, officials, employees, agents, and volunteers.
- 2. For any claims related to this Agreement, Consultant's coverage shall be primary insurance as respects the Garden Grove Sanitary District, City of Garden Grove, and their respective board members, officers, officials, employees, agents and volunteers. Any insurance or self-insurance maintained by Garden Grove Sanitary District, City of Garden Grove, and their respective board members, officers, officials, employees, agents, and volunteers shall be in excess of Consultant's insurance and shall not contribute with it.
- 3. Any failure to comply with reporting or other provisions of the policies, including breaches of warranties shall not affect coverage provided to the Garden Grove Sanitary District, City of Garden Grove, and their respective board members, officers, officials, employees, agents, and volunteers.

- 4. Consultant's insurance shall apply separately to each insured against whom claim is made or suit is brought except with respect to the limits of the insurer's liability.
- 5. Each insurance policy required by this Section shall be endorsed to state that coverage shall not be suspended, voided, cancelled by either party, reduced in coverage or in limits, except after thirty (30) days prior written notice by certified mail, return receipt requested, has been provided to District.
- 6. Consultant agrees to ensure that subcontractors, and any other parties involved with the project who are brought onto or involved in the project by Consultant, provide the same minimum insurance coverage required of Consultant. Consultant agrees to monitor and review all such coverage and assumes all responsibility for ensuring that such coverage is provided in conformity with the requirements of this Section. Consultant agrees that upon request, all agreements with subcontractors and others engaged in the project will be submitted to District for review.

E. <u>Verification of Coverage</u>

Consultant shall furnish District with original endorsements effecting coverage required by this Agreement. The endorsements are to be signed by a person authorized by that insurer to bind coverage on its behalf. All endorsements are to be received and approved by District before work commences.

If CONSULTANT maintains higher insurance limits than the minimums shown above, CONSULTANT shall provide coverage for the higher insurance limits otherwise maintained by the CONSULTANT

XII. <u>INDEMNITY</u>

A. Indemnification

To the fullest extent permitted by law, Consultant shall indemnify, defend (at Consultant's sole cost and expense), protect and hold harmless the Garden Grove Sanitary District, the City of Garden Grove, and their respective board members, officers, officials, employees, agents, and volunteers, (individually "Indemnified Party"; collectively "Indemnified Parties") against any and all liability, claims, judgments, costs, and demands (collectively, "Claims"), including Claims arising from injuries or death of persons (Consultant's employees included) and damage to property, which Claims arise out of, pertain to, or are related to the negligence, recklessness or willful misconduct of Consultant, its agents, employees, or subcontractors, or arise from Consultant's negligent, reckless or willful performance of or failure to perform any term, provision, covenant or condition of this Agreement ("Indemnified Claims"), but Consultant's liability for Indemnified Claims shall be reduced to the extent such Claims arise from the negligence, recklessness or willful misconduct of the Garden Grove Sanitary District, the City of Garden Grove, and their board members, officers, directors, officials, employees, or agents.

Consultant shall reimburse the Indemnified Parties for any reasonable expenditures, including reasonable attorneys' fees, expert fees, litigation costs and expenses that each Indemnified Party may incur by reason of Indemnified Claims. Upon request by an Indemnified Party, Consultant will defend with legal counsel reasonably acceptable to the Indemnified Party all Claims against the Indemnified Party that may arise out of, pertain to, or relate to Indemnified Claims, whether or not Consultant is named as a party to the Claim proceeding. The determination whether a Claim may "arise out of, pertain to, or relate to" Indemnified Claims shall be based on the allegations made in the Claim and the facts known or subsequently discovered by the parties. In the event a final judgment, arbitration award, order, settlement, or other final resolution expressly determines that Claims did not arise out of, pertain to, nor relate to the negligence, recklessness or willful misconduct of Consultant to any extent, then District will reimburse Consultant for the reasonable costs of defending the Indemnified Parties against such Claims, except District shall not reimburse Consultant for attorneys' fees, expert fees, litigation costs and expenses as were incurred defending Consultant or any parties other than Indemnified Parties against such Claims.

Consultant's liability for indemnification hereunder is in addition to any liability Consultant may have to District for a breach by Consultant of any of the provisions of this Agreement. Under no circumstances shall the insurance requirements and limits set forth in this Agreement be construed to limit Consultant's indemnification obligation or other liability hereunder. The terms of this Agreement are contractual and the result of negotiation between the parties hereto. Accordingly, any rule of construction of contracts (including, without limitation, California Civil Code Section 1654) that ambiguities are to be construed against the drafting party, shall not be employed in the interpretation of this Agreement.

Consultant's indemnification obligation hereunder shall survive the expiration or earlier termination of this Agreement until all actions against the Indemnified Parties for such matters indemnified hereunder are fully and finally barred by the applicable statute of limitations or, if an action is timely filed, until such action is final. This provision is intended for the benefit of third party Indemnified Parties not otherwise a party to this Agreement.

XIII. COMPLIANCE WITH LAW

- A. Consultant certifies by the execution of this Agreement the following: that it pays employees not less than the minimum wage as defined by law and that it does not discriminate in its employment with regard to race, color, religion, sex, age, marital status, ancestry, or national origin; that Consultant is in compliance with all federal and state laws, local directives, and executive orders regarding non-discrimination in employment; and that Consultant agrees to demonstrate positively and aggressively the principle of equal opportunity in employment.
- B. Consultant shall keep itself informed of State and Federal laws and regulations, which in any manner affect those employed by it or in any way affect the

performance of its Services pursuant to this Agreement. Consultant shall at all times observe and comply with all such laws and regulations. The Garden Grove Sanitary District, the City of Garden Grove, and their respective board members, officers, employees, and agents shall not be liable at law or in equity for Consultant's failure to comply with such laws and regulations.

XIV. LICENSES AND QUALIFICATIONS

Consultant represents and warrants to District that it has obtained all licenses, permits, qualifications, and approvals of whatever nature that are legally required to practice its profession. Consultant represents and warrants to District that Consultant shall, at its sole cost and expense, keep in effect at all times during the term of this Agreement, any license, permit, or approval, which is legally required for Consultant to perform Services under this Agreement.

XV. CONFIDENTIALITY AND OWNERSHIP OF DOCUMENTS

- All information gained by Consultant in the performance of this Agreement shall be considered confidential and shall not be released by Consultant without District's prior written authorization. Consultant, its officers, employees, agents or subcontractors shall not, without written authorization from the District General Manager or unless requested by District's Attorney, voluntarily provide declarations, letters of support, testimony at depositions, response to interrogatories, or other information concerning the Services performed under this Agreement or relating to any project or property location within District. Response to a subpoena or court order shall not be considered "voluntary" for the purposes of this Section, provided Consultant gives District proper notice of such subpoena or court order. Consultant shall properly notify District of any summons, complaints, subpoenas, notice of deposition, request for documents, interrogatories, requests for admissions or other discovery requests received by Consultant, its officers, employees, agents or subcontractors, related to Services performed pursuant to this Agreement. District retains the right, but has no obligation, to represent Consultant and/or be present at any deposition, hearing, or similar proceeding, the cost of which shall be borne by District. Consultant agrees to cooperate fully with District and to provide District with an opportunity to review and respond to discovery requests provided by Consultant, arising out of Services performed pursuant to this Agreement. However, District's right to review any such request or response does not imply or mean District has the right to control, direct, write or rewrite said response.
- B. The documents and study materials for this project shall become the property of District upon the termination or completion of the work. Consultant agrees to furnish to District copies of all memoranda, correspondence, computation, and study materials in its files pertaining to the work described in this Agreement, which is requested in writing by District.

XVI. INTERPRETED UNDER LAWS OF THE STATE OF CALIFORNIA

This Agreement and any dispute arising hereunder shall be governed and interpreted in accordance with the laws of the State of California. This Agreement shall be construed as a whole according to its fair language and common meaning to achieve the objectives and purposes of the parties hereto, and the rule of construction to the effect that ambiguities are to be resolved against the drafting party shall not be employed in interpreting this Agreement, all parties having been represented by counsel in the negotiation and preparation hereof. Venue for any litigation concerning this Agreement shall be in the Superior Court for the County of Orange, California.

XVII. ATTORNEYS' FEES

If any action at law or in equity is necessary to enforce or interpret the terms of this Agreement, the prevailing Party shall be entitled to reasonable attorneys' fees, costs, and necessary disbursements in addition to any other relief to which they may be entitled.

XVIII. WAIVER

No waiver of any provision of this Agreement shall be effective unless in writing and signed by a duly authorized representative of the Party against whom enforcement of a waiver is sought. Any waiver by the Parties of any default or breach of any covenant, condition, or term contained in this Agreement, shall not be construed to be a waiver of any subsequent or other default or breach, nor shall failure by the Parties to require exact, full, and complete compliance with any of the covenants, conditions, or terms contained in this Agreement be construed as changing the terms of this Agreement in any manner or preventing the Parties from enforcing the full provisions hereof.

XIX. NOTICES

All notices or other communications required or permitted hereunder shall be in writing and shall be personally delivered, sent by registered or certified mail, postage prepaid, return receipt requested, or delivered or sent by electronic transmission, and shall be deemed received upon the earlier of: (i) the date of delivery to the address of the person to receive such notice if delivered personally or by messenger or overnight courier; (ii) three (3) business days after the date of posting by the United States Post Office if by mail; or (iii) when sent if given by electronic transmission. Any notice, request, demand, direction, or other communication sent by electronic transmission must be confirmed within forty-eight (48) hours by letter mailed or delivered. Notices or other communications shall be addressed as follows:

To District: Garden Grove Sanitary District

13802 Newhope Street Garden Grove, CA 92843 Attention: Samuel Kim, P.E.

To Consultant: JIG Consultants

2372 Morse Avenue, Suite 412

<u>Irvine, CA 92614</u>

Attention: Joseph Gutierrez

Either Party may, by written notice to the other, designate a different address, which shall be substituted for that specified above.

XX. ENTIRE AGREEMENT

This Agreement constitutes the entire agreement between the parties and supersedes all prior negotiations, arrangements, representations, and understandings, if any, made by or among the parties with respect to the subject matter hereof. No amendments or other modifications of this Agreement shall be binding unless executed in writing by both parties hereto, or their respective successors, assigns, or grantees.

XXI. FORCE MAJEURE

If either party shall be delayed or prevented from the performance of any service under this Agreement by reason of acts of God, strikes, lockouts, labor troubles, restrictive governmental laws or regulations or other cause, without fault and beyond the reasonable control of the party obligated (financial inability excepted), performance of such act shall be excused for the period of delay, and the period for performance of any such act shall be extended for a period equivalent to the period of such delay.

XXII. TIME IS OF THE ESSENCE

The Parties agree that time is of the essence of this Agreement with respect to the deadlines set forth herein.

XXIII. SEVERABILITY

Whenever possible, each provision of this Agreement shall be interpreted in such a manner as to be effective and valid under applicable law, but if any provision of this Agreement shall be invalid under the applicable law, such provision shall be ineffective only to the extent of such prohibition or invalidity, without invalidating the reminder of that provision, or the remaining provisions of this Agreement.

XXIV. PROHIBITED INTERESTS

Consultant covenants that, for the term of this Agreement, no Board Member, official, officer or employee of District during his/her tenure in office/employment, or for one (1) year thereafter, shall have any interest, direct or indirect, in this Agreement or the proceeds thereof. Consultant warrants that it has not given or paid and will not give or pay any third party money or other consideration for obtaining this Agreement.

XXV. SCOPE CHANGES

In the event of a change in the scope of the proposed project, as requested by District, the Parties hereto shall execute an addendum to this Agreement, setting forth, with particularity, all terms of the new Agreement, including but not limited to any additional Consultant's fees.

XXVI. NON-LIABILITY OF DISTRICT OFFICERS AND EMPLOYEES

No officer, employee or board member of the District or the City of Garden Grove shall be personally liable to the Consultant, or any successor in interest, in the event of any default or breach by the District or for any amount which may become due to the Consultant or its successor, or for breach of any obligation of the terms of this Agreement.

XXVII. AGREEMENT EXECUTION AUTHORIZATION

Each of the undersigned represents and warrants that he or she is duly authorized to execute and deliver this Agreement and that such execution is binding upon the entity for which he or she is executing this Agreement.

XXVIII. RECITALS

The Recitals above are hereby incorporated into this section as though fully set forth herein and each party acknowledges and agrees that such Party is bound, for purposes of this Agreement, by the same.

IN WITNESS WHEREOF, this Agreement has been executed in the name of District, by its officers thereunto duly authorized, and Consultant as of the day and year first above written.

"DISTRICT" GARDEN GROVE SANITARY DISTRICT

	By:
	Scott C. Stiles General Manager
ATTEST:	
By: Kathy Bailor District Secretary	
APPROVED AS TO FORM:	
Woodruff, Spradlin & Smart	
By:Omar Sandoval Garden Grove Sanitary District General Counsel	
	"CONSULTANT" By:

EXHIBIT A

SCOPE OF SERVICES / FEE SCHEDULE

SCHEDULE A SCOPE OF WORK

Based on the Scope of Services outlined in the Request for Proposal (RFP), which is incorporated herein by reference as an integral part of this proposal, the following is our proposed Scope of Work to complete the project.

PROJECT TASKS

Task 1 – Project Management, Meetings and Coordination

- 1.1 Project Management: JIG will provide project management to ensure adherence to the project schedule and budget and to document all communication between JIG and the District.
- 1.2 Meetings: At the commencement of the project, JIG will hold a "kick-off" meeting with District staff to discuss the scope and parameters of the project. The Project Manager will arrange for and participate in review meetings with District staff to review progress of the work and exchange ideas and information at the PDR, 60% and 90% design milestones. JIG assumes the 99% and 100% comments will not require a review meeting, but may be addressed via telephone and/or email. The proposal includes man-hours for attendance to a total of four meetings.

JIG will prepare and submit minutes for each project meeting summarizing the participating personnel, key discussions, comments, decisions, and document delivered or received and actions required.

1.3 Coordination: JIG will coordinate efforts of the project team and subconsultants with representatives of utilities, government agencies, and outside stakeholders to determine requirements to be included in the construction document.

Task II – Preliminary Investigations and Design Survey

This task is for preliminary investigations and design survey and is dedicated to collecting information available from the District and utility companies, performing ground control and survey, and completing geotechnical investigations. This task will also include a detailed field reconnaissance to become familiar with the project area and to note all visible relevant features along the pipeline alignment, including major utility structures within the street right-of-way, existing driveways, concrete gutters and access ramps, frontage properties, traffic and pavement conditions.

2.1 Initial/Kickoff Meeting: Set up and attend an initial/kickoff meeting with the District to introduce project team members, formalize project communications, discuss project schedule, review scope of work, and request available data, reports, documents, and plans from the District that are relevant to the Project.

Deliverables: Meeting Agenda; Meeting Minutes

2.2 Records & Requirements Search: Perform record and data search consisting of survey information (centerline control, bench marks, assessor maps, parcel maps, record of survey, easements, etc.) and utility information consisting of existing water, sewer, and other utilities along the subject alignment. In addition, JIG will document all coordination with other public and private agencies involved to inform them about the project and obtain their records, approval, and permit requirements.

Deliverables: Utility Coordination Correspondence (Part of Design Notebook at Final Submittal)

2.3 Ground Control and Survey: JIG will establish project survey control using a combination of fast-static GPS and conventional survey methods. Horizontal control will be relative to the North American Datum of 1983 (NAD83), with coordinates based on the California Coordinate System as published by

the California Spatial Reference Center. Vertical control will be based on the City of Garden Grove and Orange County benchmark system, relative to the North American Vertical Datum of 1988 (NAVD88), or another datum at the direction of the District's staff.

Conventional topographic mapping will be conducted from right-of-way to right-of-way and would include the collection of items such as top of curb, flow line, edge of gutter, back of walks, walls, fences, roadway, driveway and surface and above ground utilities.

Once the fieldwork has been completed, the survey data will be reviewed, reduced and imported into a CAD base-file (AutoCAD 2014 format or others as requested). Field located topographic and utility features will be labeled with their respective point number, elevation and descriptions. Bush and Associates (survey sub-consultant) will produce a topographic base file of the surveyed scope at a mapping scale of 1"=40", including surface contours at one-foot intervals.

The proposal includes field survey of the following manholes within the project limits to be dipped, detailed and photographed at the direction of the Project Manager:

- ▶ Project 92 Seven (7) sewer manholes
- ▶ Project 93 Six (6) sewer manholes; two (2) storm drain manholes; and two (2) catch basins

Deliverables: Topographic Map for Base File; Manhole Data Information (Part of Design Notebook at Final Submittal)

2.4 Geotechnical Investigations: Perform a geotechnical investigations to document existing subsurface conditions for use on sewer trench design and street rehabilitation design. This proposal is based on four (4) soil boring tests for Project 92 and one (1) soil boring test for Project 93, both at a maximum depth of 20 feet. The exact location of the borings will be discussed with the District during the kick-off meeting.

Geotechnical investigations will be performed with traffic control measures per WATCH Manual except for any work in the City of Westminster. Project specific traffic control plans will be prepared for borings at the Bolsa/Bushard intersection. Project specific traffic control plans will not be required for borings within the City of Garden Grove and the City of Santa Ana. Encroachment permit fee will be waived for the City of Garden Grove.

Laboratory testing will include 1) moisture content and dry density, 2) Atterberg limits, 3) sieve and hydrometer tests, 4) direct shear for evaluating soil shear strength, and 5) R-value tests for pavement.

After soil borings are completed, the pavement will be repaired using Perma-Patch or quick-set concrete.

Deliverables: Geotechnical Investigations Report (Draft and Final to be submitted with Preliminary Design Technical Memorandum – 1 PDF and 3 Hard Copies)

Task III – Preliminary Engineering

- 3.1 Draft Preliminary Design Technical Memorandum: Prepare a Draft Preliminary Design Technical Memorandum (PDTM) representing a 25% completion of the project design, summarizing the preliminary design complete with calculations and recommendations for use during the final design. One PDTM will be prepared for both Project 92 and 93. The Draft PDTM will include the following:
 - ▶ Summary of evaluation for street pavement condition
 - ▶ Alternatives for trenchless construction methods for Project 92
 - ▶ Evaluation of method for sewer bypass
 - ▶ Preliminary plan view of the proposed sewer alignment
 - Table summary of sewer pipe hydraulics per sewer reach
 - ▶ Permit and easement requirements for proposed construction
 - Preliminary schedule and estimated construction costs
 - Recommendations of construction requirements, methods, and sequencing

Deliverables: Draft Preliminary Design Technical Memorandum (1 PDF and 3 Hard Copies)

3.2 PDTM Submittal Review Meeting: Meet with District staff to review comments on the Draft PDTM and respond to comments.

Deliverables: Meeting Agenda, Meeting Minutes

3.3 Final Preliminary Design Technical Memorandum: Revise the Project 92 and 93 PDTM as necessary based on comments received from the meeting and submit Final PDTM to District.

Deliverables: Final Preliminary Design Technical Memorandum (1 PDF and 3 Hard Copies)

Task IV – Final Engineering

The Final PDTM will serve as the outline for executing the final design services which includes the following items:

4.1 Permits and Utility Coordination: Coordinate and submit the 60% construction drawings to permitting agencies, neighboring cities, and utility companies with copies of all correspondence and submittals to the District. Comments received from the various agencies will be incorporated in to the final design.

Obtain encroachment permits for pipeline construction from the cities of Garden Grove, Westminster, and Santa Ana.

Deliverables: Copies of Correspondence with Utility Companies (Part of Design Notebook at Final Submittal), City Encroachment Permits

4.2 Utility Verification and Potholing: Perform utility verification by potholing using an air vacuum excavation technique. Resulting pothole data will be accurately shown on the construction drawings. Project 92 will be allocated 15 utility potholes and Project 93 will be allocated 8 utility potholes. All phone and electric underground will be exposed from top to bottom of structure, if possible. The exact quantity and location of the potholes will be determined and submitted to the District for review after acceptance of the Final PDTM.

Potholing will be performed with traffic control measures per WATCH Manual except for any work in the City of Westminster. Project specific traffic control plans will be prepared for potholing at the Bolsa/Bushard intersection. Project specific traffic control plans will not be prepared for potholing at the City of Garden Grove and City of Santa Ana. Encroachment permit fee will be waived for the City of Garden Grove.

After potholing is complete, the pavement will be repaired using Perma-Patch or quick-set concrete. A pothole summary report will be used to plot the utilities on the project base map. Field survey of potholed utilities is not included.

Deliverables: Pothole Investigation Report (Part of Design Notebook at Final Design)

4.3 Construction Plans, Specifications, and Engineer's Estimate at 60%, 90%, 99%, and 100% Stages of Design: Prepare construction drawings, specifications, and engineer's construction cost estimate incorporating District standard drawings, standard specifications, comments received from District, utility companies, and outside stakeholders for each project. The construction specifications will include the City's contract boilerplate documents, construction sequencing, and technical specifications.

The construction plans will consist of the following sheets at a minimum:

	Project 92	2 – Construction Plans Set Sheet Count
Sht. No.	Dwg. No.	Description
1	G-1	Title Sheet
2	G-2	Vicinity Map, General Notes, Legend, Abbreviation and Sheet Index
3	C-1	Donegal Drive / Lexington Avenue Plan and Profile
4	C-2	Washington Avenue Plan and Profile
5	C-3	Bushard Avenue Plan and Profile
6	C-4	Pavement Resurfacing Plan and Details
7	C-5	Sewer Manhole Enlargement Details
8	C-6	Miscellaneous Civil Details
9	C-7	Jack and Bore / Inverted Siphon Details
10	T-1	Traffic Control Cover, General Notes, Legend
11	T-2	Traffic Control at Bushard Avenue
12	T-3	Traffic Control at Bushard and Bolsa Intersection

	Project 9	3 – Construction Plan Set Sheet Count
Sht. No.	Dwg. No.	Description
1	G-1	Title Sheet
2	G-2	Vicinity Map, General Notes, Legend, Abbreviation and Sheet Index
3	C-1	Westminster Avenue Plan and Profile
4	C-2	Sewer Manhole Enlargement Details
5	C-3	Miscellaneous Civil Details
6	T-1	Traffic Control Cover, General Notes, Legend
7	T-2	Traffic Control at Westminster Avenue (3 Stages)
8	T-3	Traffic Control at Westminster Avenue/Euclid Street (2 Stages)

Seven (7) sets of the milestone submittals for each project will be sent to the District for review. This includes the construction plans, specifications, cost estimates, and calculations.

The following list outlines a suggested level of completion for various milestone submittals.

- A. 60% Milestone Submittal This submittal will include preliminary horizontal and selected segments of vertical alignment, utility crossings, and abandonment methods. The submittal package will account for and be comprised of the following:
 - Completed base map
 - Preliminary hydraulic calculations
 - ▶ Completed base drawing with street data and existing utilities
 - ▶ Completed Title Sheet (including vicinity map, location map and index)
 - Index Map
 - General notes, abbreviations, legend (substantially complete)

- ▶ Horizontal alignment with stationing and control (substantially complete)
- Standard details (substantially complete)
- Preliminary project specific details
- Preliminary annotations
- Preliminary traffic control plans
- ▶ Table of contents for technical specifications
- Preliminary engineer's estimate
- B. 90% Milestone Submittal This submittal will have a completed horizontal alignment and partially completed vertical alignment, construction notes, plan annotations, manhole details, and project details. The submittal package will account for and be comprised of the following:
 - ▶ All items completed at the 60% milestone submittal
 - Completed potholing information plotted on profile
 - ▶ Completed final hydraulic calculations
 - ▶ Completed general notes, abbreviations, and legend
 - ▶ Completed horizontal alignment with stationing and control
 - Vertical profile (substantially complete)
 - ▶ Completed standard details
 - ▶ Completed manhole and enlargement details
 - ▶ Project specific details
 - Plan annotations (substantially complete)
 - ▶ Traffic control plans (substantially complete)
 - ▶ Technical specifications (substantially complete)
 - Front end documents (substantially complete)
 - ▶ Engineer's estimate (90% level)
 - Completed utility coordination
- C. 99% Milestone Submittal This submittal is deemed the pre-final submittal and is the District's final opportunity for review prior to Mylar production. The construction plans will include a completed horizontal and vertical alignment, completed details, and completed plan annotations. The project specifications will include completed technical specifications, completed front end documents, and bid form.
- D. 100% Milestone Submittal This submittal is considered the final submittal and will serve as a back check to make sure all comments from the 99% milestone submittal was addressed.
 - Deliverables: Seven (7) Copies of the 60%, 90%, and 99% Design Milestone Submittals; Three (3) Copies of the 100% Design Milestone Submittal
- 4.4 Progress Submittal Review Meetings: Arrange a review meeting with District staff at the 60% and 90% design milestones to discuss the design, collect and respond to review comments. JIG assumes the 99% and 100% comments will not require a review meeting, but will be addressed via telephone and/or email.

Deliverables: Meeting Agenda; Meeting Minutes

4.5 Final Deliverable – Submit two (2) bound copy of the construction plans along with a reverse read Mylar set for each project. Drawings will be 24-inch by 36-inch in size, drawn at 40 scale plan view and 4 scale vertical. Details will be 20 scale or less. All drawings shall conform to District standards and will be signed and stamped by a registered civil engineer in the State of California. Project specifications will also be signed and stamped by the Engineer of Record. Two (2) copies of the specifications for each project will be submitted, one bound and the other unbound.

JIG will provide the District with two (2) copies of the Project Design Notebook. The notebook will include all pertinent correspondence, calculations, quantity and cost estimates. The final design notebook will be signed and stamped by the Engineer of Record.

A CD will be submitted containing the AutoCAD files (without x-references), specifications in MS Word format, and the engineer's cost estimate in MS Excel format.

Deliverables: Final Design Submittal; Project Design Notebook; Project CD

- 4.6 Bid Phase Assistance: Provide necessary support services to the District during the project bid phase. Support services shall include the following:
 - ▶ Attendance at Pre-Bid Meeting
 - ▶ Answering Contractor's questions and providing clarifications
 - Preparation one (1) project addendum

Deliverables: Project Addendum; Design Clarifications

Exclusions

The following items are not included in the Scope of Work above:

- A. Survey of potholed utilities
- B. Survey of geotechnical boreholes
- C. Construction administration
- D. Construction observation
- E. Construction staking
- F. Environmental documentation
- G. Preparation of record drawing

GARDEN GROVE SANITARY DISTRICT SEWER IMPROVEMENT PROJECT 92 FEE SUMMARY **EXHIBIT A**

										ηS	Subconsultants	· ·		
Scope of Work Tasks				Labor	Labor Hours			Total Hours	388	Geotech	Survey	Pothole	Direct Costs	Total Fee
		PM	၁၉	PE	Civil	CAD	Admin							
		\$ 160	\$ 140	\$ 125	\$ 105	\$ 95	\$ 20							
TASK I - Project Management, Meetings & Coordination			(Inco	porated i	(Incorporated in Tasks II - IV)	- IV)								
TACK II - Dealiminany Invoctination & Decian Survey														
2.1 Initial Kick-Off Meeting	***************************************	-	0	0	0	0	-	2	\$210	\$0	\$0	\$0	\$25	\$235
2.2 Records and Requirements Search		0	0	0	2	4	2	8	069\$	\$	\$0	\$0	\$150	\$840
2.3 Ground Control and Survey	***************************************	0	0	0	0	0	0	0	\$0	\$0	\$16,050	\$0	\$0	\$16,050
2.4 Geotechnical Investigations (4 boreholes @ 20 feet deep)		2	0	0	9	4	0	12	\$1,330	\$12,900	\$0	\$0	\$50	\$14,280
	Subtotal Task II -	3	0	0	8	8	3	22	\$2,230	\$12,900	\$16,050	0\$	\$225	\$31,405
TASK III - Preliminary Engineering		***************************************		***************************************										
3.1 Draft Preliminary Design Technical Memorandum		9	0	6	9	က	2	26	\$3,100	\$0	\$0	\$0	\$100	\$3,200
3.2 PDTM Submittal Review Meeting		-	0	0	0	0	-	2	\$210	\$0	\$0	\$0	\$25	\$235
3.3 Final Preliminary Design Technical Memorandum		2	0	3	2	-	1	6	\$1,050	\$0	\$0	\$0	\$100	\$1,150
	Subtotal Task III -	6	0	12	8	4	4	37	\$4,360	\$0	\$0	\$0	\$225	\$4,585
TASK IV - Final Engineering				********										
4.1 Permits and Utility Coordination		4	0	4	9	2	10	26	\$2,460	\$0	\$0	\$0	\$350	\$2,810
4.2 Utility Verification and Potholing (15 potholes)		2	0	0	9	4	0	12	\$1,330	\$0	\$0	\$14,800	\$50	\$16,180
4.3 Construction Plans, Specs, and Estimate (60%, 90%, 99%, and 100%)	6, and 100%)	27	4	58	84	96	10	279	\$30,570	\$0	\$0	\$0	\$600	\$31,170
4.4 Progress Submittal Review Meetings (60% & 90%)		2	0	0	0	0	~	င	\$370	\$0	\$0	\$0	\$50	\$420
4.5 Final Deliverable		-	0	0	0	4	_	9	\$590	\$0	\$0	\$0	\$300	\$890
4.6 Bid Phase Assistance		2	0	0	0	12	1	15	\$1,510	\$0	\$0	\$0	\$30	\$1,540
	Subtotal Task IV -	38	4	62	96	118	23	341	\$36,830	\$0	\$0	\$14,800	\$1,380	\$53,010
Total Estimated Hours and Fee (Tasks I-IV) -	nd Fee (Tasks I-IV) -	20	4	74	112	130	30	400	\$43,420	\$12,900	\$16,050	\$14,800	\$1,830	\$89,000

JIG Consultants Staff	Subconsultant
PM - Project Manager (Joseph Gutierrez, \$160/hr)	GMU Geotechnical, Inc Geotechncial
QC - QA/QC Officer (Mike Palzes, \$140/hr)	Sharp and Associates - Survey
PE - Project Engineer (Sasha Savich, \$125/hr)	CBelow - Utility Potholes
Civil - Civil Designer (Ramesh Bachuvala, \$105/hr)	
CAD - CAD Designer (Rick Hernandez, \$95/hr)	

Fee Summary based on the following assumptions:

- 1) Up to 15 utility potholes will be required.
- 2) Use of WATCH manual for encroachment permits for most potholes and geotech boreholes.
- 3) Traffic control plans will be required by the City of Westminster for potholes and geotech borehole at Bolsa/Bushard intersection.
- 4) Construction plans based on Alternative 1 which is 1,000 feet longer, requires jack and bord at Bolsa/Bushard intersection, and possibly an inverted siphon with air jumper.
 - Cold mix asphalt pavement replacement on potholes and geotechnical borings.
 Encroachment permit fee will be waived for City of Garden Grove.

EXHIBIT A GARDEN GROVE SANITARY DISTRICT SEWER IMPROVEMENT PROJECT 93 FEE SUMMARY

Scope of Work Tasks			Labor Hours	ours			Total Hours	308	7	1	Settledo	Direct Costs	Total Fee
	PM	၁၀	PE	Civil	CAD /	Admin			George	Salvey	ronore		
	\$ 160	\$ 140	\$ 125 \$	105	\$ 95	20							
TASK I - Project Management, Meetings & Coordination		(Incorp	orated in	(Incorporated in Tasks II - IV)	[V]								
TACK II Decline to the continue of Decline Courses			-		ļ								
HADA II - Freiminialy investigation & Design Survey	7	c	c		c	•	·	6240		- C\$	Ş	#25	4234
2.1 Initial Kick-UT Weeting	-	Э.	D	>	D		7	01.20	9		9 1	920	0079
2.2 Records and Requirements Search	0	0	0	2	4	2	8	069\$	\$0	0\$	S	\$300	\$390
2.3 Ground Control and Survey	0	0	0	0	0	0	0	\$0	\$0	\$10,900	\$0	\$0	\$10,900
2.4 Geotechnical Investigations (1 borehole @ 20 feet depth)	0	0	0	0	0	0	0	\$	\$10,550	\$0	\$0	\$0	\$10,550
	-	0	0	2	4	2	10	\$900	\$10.550	\$10,900	\$0	\$325	\$22,675
	-												
TASK III - Preliminary Engineering				**********									
3.1 Draft Preliminary Design Technical Memorandum	5	0	9	ည	0	2	18	\$2,175	\$0	\$0	\$0	\$150	\$2,325
3.2 PDTM Submittal Review Meeting	-	0	0	0	0	_	2	\$210	\$0	\$0	\$0	\$25	\$235
3.3 Final Preliminary Design Technical Memorandum	2	0	2	2	0	-	7	\$830	\$0	\$0	\$0	\$150	\$980
Subtotal Task III -	æ	0	8		0	4	27	\$3,215	0\$	0\$	0\$	\$325	\$3,540
TASK IV - Final Engineering													
4.1 Permits and Utility Coordination	12	0	2	9	2	10	32	\$3,490	\$0	\$0	0\$	\$350	\$3,840
4.2 Utility Verification and Potholing (8 potholes)	0	0	0	0	0	0	0	\$0	\$0	\$0	\$9,050	\$0	\$9,050
4.3 Construction Plans, Specs, and Estimate (60%, 90%, 99%, and 100%)	17	-	40	52	52	10	172	\$18,760	\$0	\$0	\$0	\$700	\$19,460
4.4 Progress Submittal Review Meetings (60% & 90%)	4	0	0	0	0	2	9	\$740	\$0	\$0	\$0	\$20	\$790
4.5 Final Deliverable	-	0	4	0	4	2	-	\$1,140	\$0	\$0	\$0	\$300	\$1,440
4.6 Bid Phase Assistance	2	0	0	0	12	1	15	\$1,510	\$0	\$0	\$0	\$45	\$1,555
Subtotal Task IV -	36	-	46	58	70	25	236	\$25,640	\$0	\$0	\$9,050	\$1,445	\$36,135
Total Estimated Daues and See (Taske L.M.	45	-	24	24	7.4	22	273	\$29 755	\$10.550	\$10,900	\$9.050	\$2 095	\$62.350
	2	-		5		;		1		1.2.4.2.2	1		

.IIG Consultants Staff	Subconsultant
(-1/00/00	CMI Contratactory
PIN - Project Manager (Joseph Gutterrez, & 100/fil)	Givio deolectifical, filo deolectificial
QC - QA/QC Officer (Mike Palzes, \$140/hr)	Sharp and Associates - Survey
PE - Project Engineer (Sasha Savich, \$125/hr)	CBelow - Utility Potholes
Civil - Civil Designer (Ramesh Bachuvala, \$105/hr)	
CAD - CAD Designer (Rick Hernandez, \$95/hr)	

Fee Summary based on the following assumptions:

- 1) Up to eight (8) utility potholes will be required.
- 2) Use of WATCH manual for encroachment permits for potholes and geotech boreholes. Traffic control plans will not be required by City of Garden Grove or City of Santa Ana.
- 3) Cold mix asphalt pavement replacement on potholes and geotechnical borings.
 - 4) Encroachment permit fee will be waived for City of Garden Grove.